

# What's New With 401(k) Fiduciary Compliance

## Join Intercare Insurance Solutions, Jason Roberts and Matthew Hutcheson for a 2009 Update on 401(k) Fiduciary Compliance

Jason Roberts, Partner of Reish Luftman Reicher & Cohen, and Matthew Hutcheson, Independent Pension Fiduciary, will cover legislative updates and why it's critical for plan sponsors to understand and comply with them.

### POINTS OF DISCUSSION

#### 401k Fiduciary Responsibilities

- Duty to Know the Law
- Status of Current/Pending Regulations

#### Due Diligence Process

- Importance of Investment Policy Statements
- Understanding and Complying with 404c regulation
- Prudent Selection and Monitoring—Model Portfolios

#### Controlling, Accounting & Reporting of Investment Expenses

- Understanding Plan Fees—Proposed 408(b)(2) regulation
- Service Providers to be Held Under the Microscope
- Participant Disclosures—Fee Transparency

In addition, **BrightScope, Inc.** will demonstrate their 401k rating website designed to help sponsors optimize their plans. BrightScope is getting great press with this revolutionary tool.

## WEDNESDAY APRIL 29TH

### Breakfast Session– UTC

#### Registration/Breakfast

7:30 – 8:00 a.m.

#### Presentation

8:00 - 9:30 a.m.

#### Location:

La Jolla Sheraton  
3299 Holiday Court  
La Jolla, CA 92037

#### Fee

Complimentary

#### RSVP to Meagan Tyson

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### Lunch Session– Carlsbad

#### Registration/Lunch

11:30 a.m.– 12:00 p.m.

#### Presentation

12:00 - 1:30 p.m.

#### Location:

Carlsbad Sheraton  
5480 Grand Pacific Drive  
Carlsbad, CA 92008

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